

New Advisor

Summary

Joining Guardian Pointe Private Wealth Management makes getting your Series 65 License and attaining fee-based success simple. Our proven process to Series 65 success will walk you through each step of your licensing, assisting you to pass with flying colors and save on costs from test-prep to examination.

Once you're licensed, you are ready to attend one of our proven AlphaX new IAR boot camps which will equip you with the knowledge, experience and tips you'll use to surpass your expectations of success.

The 2-day in-person course is included in your New IAR launch pricing, and familiarizes you with your new suite of technology, marketing, investments, and capabilities so you can immediately launch into success. Your Business Development Advisor will work with you in the following months across practice management and sales support to help you implement and launch your 6-month business plan.



Compensation Example

| Annual AUM Production | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 |
|-----------------------|--------------|---------------|---------------|---------------|---------------|
| \$1,000,000 | \$ 6,580.00 | \$ 13,160.00 | \$ 19,740.00 | \$ 26,320.00 | \$ 32,900.00 |
| \$2,500,000 | \$ 16,450.00 | \$ 32,900.00 | \$ 63,397.50 | \$ 84,530.00 | \$ 105,662.50 |
| \$5,000,000 | \$ 32,900.00 | \$ 84,530.00 | \$ 126,795.00 | \$ 178,460.00 | \$ 223,075.00 |
| \$10,000,000 | \$ 84,530.00 | \$ 178,460.00 | \$ 267,690.00 | \$ 375,800.00 | \$ 469,750.00 |

Every Guardian Pointe IAR benefits from our open-source investment platform, paper-less client acquisition process, concierge service, advisor owned books of business, and competitive compensation. From proven powerful training to industry leading solutions, Guardian Pointe Private Wealth Management is the platform you'll need and want to succeed in a post-DOL marketplace.

New IAR Fast-Track to Success

- Intuitively add securities into their sales process
- Build substantial recurring fee-revenue
- Pre-empt DOL regulation & broaden your business
- Leverage a completely turn-key investment platform
- In many states, be registered without a Series 65 License



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